<u>AUDIT PLAN, 2020/21</u>

Audit Category										
Fundamental Audits - S151 Assurance	Risk	Engagement Type	Original Audit Pla	Assignment Creditor payments - year end 2019/20	Days 25	Audit Scope	National Issue	Audit Output Status 31.12.20	Audit Opinion	Comment, if audit engagement is not targeted to be delivered in 2020/21
Purchasing and Payments			55	CRSA 2020/21 In year testing (Mid Year 2020/21)	5	Purchases and Payments are compliant, authorised, accurate and timely.		Completed	No Opinion Provided	
		_		Creditor Payments – in-year testing 2019/20 (carried forward from 2019/20) Establishment reviews	0			Final Issued	Effective	Carry forward to Audit Plan 2021/22
	High	Assurance		CRSA 2020/21	5	Recruitment processes are transparent and robust, only bona fide, authorised and accurate payments are made, with	h	Completed Final Issued	No Opinion Provided Effective	Carry forward to Addit Plan 2021/22
Payroll			60	In year testing 2019/20 Payroll - Recruit cf	0	effective prevention, detection and recovery of errors		Final Issued	Effective Effective with opportunity for improvement Effective	
Council Toy		_	20	In year testing 2020/21 Additional Payments (payroll & allowances)		Bona fide, effective, efficient and accurate additional payments		Final Issued Final Issued	Effective with opportunity for improvement	
NNDR			20	Council Tax		Compliance and control, with effective and efficient processes.				
HB / LHA/ CTRS	High	Assurance	20	NNDR in-year testing (carried forward from 2019/20) Housing Benefit / Local Housing Allowances / Council Tax Reduction Scheme		Business Rate collection and control is working effectively and efficiently. Effective controls to ensure accurate processing of bona fide claims	_	Final Issued	Effective with opportunity for improvement	
Treasury Management										
Main Accounting										
Income and Debtors										
Asset Management Housing Rents			455		455					
Total Corporate Governance Assurance - Audit			155 Original audit pla	Assignment Assignment	155 Days					
Audit of risk management arrangements		Assurance	20	Programme and Project Risk Management Directorate Risk Management	10	Risk management arrangements are effective and operated consistently		Draft Issued Draft Issued	Effective with opportunity for improvement Effective with opportunity for improvement	
Audit of governance arrangements	High		20	Governance arrangements	20	Audit of the application of good governance arrangements in COVID-19 Decision making and contol		Final Issued	Effective	
Audit of scrutiny functions	Medium	Assurance -	0	Governance arrangements (carried forward from 2019/20) Audit of scrutiny functions (carried forward from 2019/20)		Effective application of the Council's Code of Corporate Governance. Effective scrutiny activities and outcomes		Final Issued Draft Issued	Effective with opportunity for improvement Effective with opportunity for improvement	
Audit of ethics and values	Wicalam	Assurance	20	Complaints & Compliments Codes of Conduct	10	Policy alignment to organisational values, with appropriate disclosures made.		Final Issued Final Issued	Effective with opportunity for improvement Effective	
Audit of Wellbeing of Future Generations	High	Assurance	20	Business and Personal Interests (carried forward from 2019/20) Wellbeing of Future Generations	0	Effective application of Wellbeing of Future Generations requirements.	V	Draft Issued	Effective with opportunity for improvement	
Audit of performance management		Assurance	0	Performance Management (carried forward from 2019/20)		Performance management arrangements are effective, and operated consistently.	,	Final Issued	Effective with opportunity for improvement	
Audit of Health and Safety Audit of Information governance										
Total			80 0	Digital Services - Hybrid Mail cf	80			Final Issued	Effective with opportunity for improvement	
Digital Services	Medium	Assurance	0	Digital Services - Virtual Agent (carried forward from 2019/20)	0	Project management arrangements are operating effectively, as per corporate requirements.		Final Issued	Effective Effective	
Delegation and decision making Programmes and Projects	Medium	Assurance	20	Programme and Project Governance	20	Effective, clear and consistent project governance arrangements.		Draft Issued	Effective with opportunity for improvement	
Total Other Assurance			20 Original audit pla	Assignment Assignment	20 Days					
Contract Audit		Assurance	40	Cradle to Grave Audit	20	Effective contract compliance, control and delivery of objectives				Conclude Audit in 2021/22
Cardiff Bus - governance	Medium	Assurance	10	Contract Monitoring & Management Cardiff Bus - governance	10	Section 151 Assurance on governance arrangements		Draft Issued	Insufficient with major improvement needed	
Education - SOP	Medium	Assurance	15	Education - SOP Review of Band B Change and patch management controls	15	Delivery of objectives, with effective compliance and control Effective co-ordination, risk management and control.	_			
ICT Audit	High	Assurance	50	Payment Card Industry (chip and pin devices) IT Business Continuity		Effective compliance and control. Effective co-ordination, risk management and control.	_	Draft Issued	Effective with opportunity for improvement	
Value for Money studies		Assurance	30	IT inventory and asset management (carried forward from 2019/20) VFM in Digital Initiatives	5	Assurance on value for money in digital initiatives		Final Issued DEFERRED AUDIT	Insufficient with major improvement needed	Carry forward to Audit Plan 2021/22
Taxation (incl. VAT)	Medium	Assurance	20	VFM in use of Council vehicles Taxation		Value for money in use of Council vehicles Effective compliance and control.	_	Draft Issued	Effective with opportunity for improvement	Carry forward to Audit Plan 2021/22
System Development National Fraud Initiative	USAL	TBC Participation	0	System Development National Fraud Initiative	10	Consultation or assurance services, as relevant. Data matching counter-fraud exercise.	_	First town d		
Pensions and Investments	High	Assurance	15	Pensions and Investment In year testing CF	15	Effective compliance and control.		Final Issued	Effective with apportunity for improvement	
Insurance Health and safety	High	Assurance	15	Insurance	15			Final Issued	Effective with opportunity for improvement	
	High	Assurance	15	Mileage & subsistence	15	Accurate claiming and authorisation for reasonable expenditure		Draft Issued	Insufficient with major improvement needed	
Mileage & subsistence Fleet Management (pool cars, grey fleet, etc.)	High	Assurance	15	Mileage & subsistence Fleet Management (pool cars, grey fleet, etc.) (carried forward from 2019/20)		Accurate claiming and authorisation for reasonable expenditure. Delivery of service objectives with effective compliance and control.		Draft Issued Draft Issued	Insufficient with major improvement needed Insufficient with major improvement needed	
Mileage & subsistence Fleet Management (pool cars, grey fleet, etc.) Procurement Stores	High Medium High	Assurance	15 10 20	Fleet Management (pool cars, grey fleet, etc.) (carried forward from 2019/20) Dominions Way Temporary Stores	10	Delivery of service objectives with effective compliance and control. Effective and efficient stores management, and stock / equipment control	V			Carry forward to Audit Plan 2021/22
Mileage & subsistence Fleet Management (pool cars, grey fleet, etc.) Procurement Stores Business Continuity Members' allowances	Medium	Assurance Assurance Assurance	10 20 15 0	Fleet Management (pool cars, grey fleet, etc.) (carried forward from 2019/20) Dominions Way Temporary Stores Business Continuity & Incident Management Members' Allowances	10 20 15	Delivery of service objectives with effective compliance and control. Effective and efficient stores management, and stock / equipment control Effective business recovery and incident management systems. Effective governance and management of Members' allowances	У	Draft Issued	Insufficient with major improvement needed	Carry forward to Audit Plan 2021/22 Carry forward to Audit Plan 2021/22
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Mileage & subsistence Fleet Management (pool cars, grey fleet, etc.) Procurement Stores Business Continuity Members' allowances Business Grants - Assurance TBA Total Service specific audits Service / Process Consultancy Planning, Transportation and Environment People and Communities Economic Development (Waste Management)	Medium High Medium High High High Medium High Medium High Medium High Medium High	Assurance Assurance Assurance Assurance Assurance Consultancy Assurance Consultancy Consultancy Assurance Consultancy	282 Original audit pla 100 80 150 100	Fleet Management (pool cars, grey fleet, etc.) (carried forward from 2019/20) Dominions Way Temporary Stores Business Continuity & Incident Management Members' Allowances Business Grants - Assurance TBA Assignment Provision of Service / Process Consultancy Asset Management of Commissioning and Procurement Income and Debtors Performance Management Information Management Pre-Contract Assurance Asset Management Information Management Pre-Contract Assurance Asset Management Information Management Pre-Contract Assurance Asset Management of Income and Debtors Performance Management Information Management Commissioning and Procurement Information Management Pre-Contract Assurance Health and Safety (carried forward from 2019/20) Flexible Funding in Housing Get me home service Independent Using Lettings policy in high rise accommodation Libraries Private Rental Leasing Scheme Asset Management of Private Rental Leasing Scheme Asset Management Consultancy Prollow UP - Contracts in Waste Management Information Management Pre-Contract Assurance Disposal of Land & Buildings Asset Management Information Management Pre-Contract Assurance Pre-Contract Sasurance Pre-Contract Assurance Pre-Contract Sasurance Pre-Contract Assurance Pre	0	Delivery of service objectives with effective compliance and control. Effective and efficient stores management, and stock / equipment control Effective business recovery and incident management systems. Effective compliance and control in administering Business Grants TRA TRA TRA TRA Consultancy support across the Council as services adapt and respond to the service demands of COVID-19 Effective Directorate recording, monitoring, management and control of physical assets Commissioning and Procurement compliance and control Operation of appropriate arrangements for record, management procures, and and according to the service of the		Final Issued Completed work in three thematic areas - work ongoing Final Issued Final Issued Final Issued DEFERRED AUDIT	Effective No Opinion Provided Insufficient with major improvement needed Effective with opportunity for improvement Effective with opportunity for improvement Insufficient with major improvement needed Effective with opportunity for improvement Effective Effective No Opinion Provided Insufficient with major improvement needed Insufficient with major improvement needed Insufficient with major improvement needed Effective with opportunity for improvement	Conclude Audit Plan 2021/22 Carry forward to Audit Plan 2021/22 Objectives incorporated into audit of Information Governance for 2021/22 Carry forward to Audit Plan 2021/22 Carry forward to Audit Plan 2021/22 Objectives incorporated into audit of Information Governance for 2021/22 Carry forward to Audit Plan 2021/22 Not prioritised / taken forward for Audit Plan 2021/22 Carry forward to Audit Plan 2021/22 Not prioritised / taken forward for Audit Plan 2021/22 Carry forward to Audit Plan 2021/22
Mileage & subsistence Fleet Management (pool cars, grey fleet, etc.) Procurement Stores Business Continuity Members' allowances Business Grants - Assurance TBA Total Service specific audits Service / Process Consultancy Planning, Transportation and Environment People and Communities Economic Development (Waste Management)	Medium High Medium High High High Medium High Medium High Medium High Medium High	Assurance Assurance Assurance Assurance Assurance Consultancy Assurance Consultancy Consultancy Assurance Consultancy	282 Original audit pla 100 80 150 100	Fleet Management (pool cars, grey fleet, etc.) (carried forward from 2019/20) Dominions Way Temporary Stores Business Continuity, & Incident Management Members' Allowances Business Grants - Assurance TBA Assignment Provision of Service / Process Consultancy Asset Management of Commissioning and Procurement Income and Debtors Performance Management Information Management Information Management Pre-Contract Assurance Health and Safety (carried forward from 2019/20) Lamby Way Stores - Follow Up (carried forward from 2019/20) Bereavement Services Asset Management of Income and Debtors Performance Management Commissioning and Procurement Information Management Pre-Contract Assurance Health and Safety (carried forward from 2019/20) Bereavement Services Asset Management of Income and Debtors Performance Management Commissioning and Procurement Information Management Pre-Contract Assurance Health and Safety (carried forward from 2019/20) Flexible Funding in Housing Get me home service Independent Living Lettings policy in high rise accommodation ICF schemes (incl. Families First) Risk Based Verification Ulbraries Private Rental Leasing Scheme Asset Management of Health and Safety (carried forward from 2019/20) Waste Management Commissioning and Procurement Information Management Information Management Pre-Contract Assurance Commissioning and Procurement Corporate Landlord Disposal of Land & Buildings Asset Management (Carried forward from 2019/20) Health and Safety (carried forward from 2019/20) Health and Safety (carried forward from 2019/20) Income and Debtors Performance Management Information Management Information Management Pre-Contract Assurance Commissioning and Procurement Corporate Landlord Disposal of Land & Buildings Asset Management (carried forward from 2019/20) Health and Safety (carried forward from 2019/20) Health and Safety (carried forward from 2019/20) Income and Debtors Performance Management Information Management Pre-Contract Assurance	0	Delivery of service objectives with effective compliance and control. Effective and efficient stores management, and stock / equipment control Effective business recovery and incident management systems. Effective compliance and control in administering Business Grants TBA Consultancy support across the Council as services adapt and respond to the service demands of COVID-19 Effective Directorate recording, monitoring, management and control of physical assets Commissioning and Procurement compliance and control Operation of appropriate arrangements to record, monitor and recover sundry debts. Performance management arrangements are effective, and operated consistently. Controlled, effective and compliant information management practices. Relevant compliance and due diligence checks are undertaken Directorate health and safety compliance and risk management. Follow up Audit. Compliance and control in Bereavement Services Effective Directorate recording, monitoring, management and control of physical assets Operation of appropriate arrangements corecord, monitor and recover sundry debts. Performance management arrangements or coord, monitor and recover sundry debts. Performance management arrangements or coord, monitor and recover sundry debts. Performance management arrangements are effective, and operated consistently. Commissioning and Procurement compliance and control of physical assets Operation of appropriate arrangements are effective, and operated consistently. Commissioning and Procurement compliance and control of controlled procurement compliance	y	Completed work in three thematic areas - work ongoing Final Issued Final Issued Final Issued Final Issued DEFERRED AUDIT DEFERRED AUDIT Final Issued DEFERRED AUDIT	Effective No Opinion Provided Insufficient with major improvement needed Effective with opportunity for improvement Effective with opportunity for improvement Insufficient with major improvement needed Effective with opportunity for improvement Effective No Opinion Provided Insufficient with major improvement needed Insufficient with major improvement needed Insufficient with major improvement needed Effective with opportunity for improvement	Conclude Audit Plan 2021/22 Carry forward to Audit Plan 2021/22 Objectives incorporated into audit of Information Governance for 2021/22 Carry forward to Audit Plan 2021/22 Carry forward to Audit Plan 2021/22 Objectives incorporated into audit of Information Governance for 2021/22 Carry forward to Audit Plan 2021/22 Not prioritised / taken forward for Audit Plan 2021/22 Carry forward to Audit Plan 2021/22 Not prioritised / taken forward for Audit Plan 2021/22 Carry forward to Audit Plan 2021/22 Not prioritised / taken forward for Audit Plan 2021/22 Carry forward to Audit Plan 2021/22
Mileage & subsistence Fleet Management (pool cars, grey fleet, etc.) Procurement Stores Business Continuity Members' allowances Business Grants - Assurance TBA Total Service specific audits Service / Process Consultancy Planning, Transportation and Environment People and Communities Economic Development (Waste Management)	Medium High Medium High High High Medium High Medium High Medium High Medium High	Assurance Assurance Assurance Assurance Assurance Consultancy Assurance Consultancy Consultancy Assurance Consultancy	282 Original audit pla 100 80 150 100	Fleet Management (pool cars, grey fleet, etc.) (carried forward from 2019/20) Dominions Way Temporary Stores Business Continuity & Incident Management Members' Allowances Business Grants - Assurance TBA TBA Assignment Provision of Service / Process Consultancy Asset Management of Commissioning and Procurement Income and Debtors Performance Management Information Management Pre-Contract Assurance Bereavement Services Asset Management of Income and Debtors Performance Services Asset Management Pre-Contract Assurance Health and Serty (carried forward from 2019/20) Lamby Way Stores - Follow Up (carried forward from 2019/20) Bereavement Services Asset Management of Income and Debtors Performance Management Commissioning and Procurement Information Management Pre-Contract Assurance Health and Safety (carried forward from 2019/20) Flexible Funding in Housing Get me home service Independent Living Lettings policy in high rise accommodation ICF schemes (inc.) Families First) Risk Based Verification Libraries Private Rental Leasing Scheme Asset Management Consultancy Pollow UP - Contract Sin Waste Management Trolleys Gastehouse Commercial Waste Recycling in HWRCs FOLLOW UP - Contracts in Waste Management Information Management Information Management Information Management Information Management Information Management Information Management Pre-Contract Assurance Commercial Waste Recycling in HWRCs FOLLOW UP - Contract in Waste Management Trolleys Income and Debtors Performance Management Information Management Pre-Contract Assurance Commissioning and Procurement Corporate Landlord Disposal of Land & Buildings Asset Management (carried forward from 2019/20) Major Projects Governance (carried forward from 2019/20) Major Projects Governance (carried forward from 2019/20) Major Projects Governance (carried forward from 2019/20) Inlind (carried forward from 2019/20) Major Projects Governance Commissioning and Procurement Health and Safety Asset Management	0	Delivery of service objectives with effective compliance and control. Effective and efficient stores management, and stock / equipment control Effective business recovery and incident management systems. Effective compliance and management of Members' allowances Effective compliance and control in administering Business Grants TAN Consultancy support across the Council as services adapt and respond to the service demands of COVID-19 Effective Directorate recording, monitoring, management and control of physical assets Commissioning and Procurement compliance and control of physical assets Commissioning and Procurement compliance and control of consistently. Controlled, effective and compliant information management practices. Relevant compliance and due diligence checks are undertaken Directorate health and safety compliance and risk management. Follow up Audit. Compliance and control in Bereavement Services Effective Directorate recording, monitoring, management and control of physical assets Effective Directorate recording, monitoring, management and control of physical assets Effective Directorate recording, monitoring, management and control of physical assets Effective Directorate recording, monitoring, management and control of physical assets Effective Directorate recording, monitoring, management and control of physical assets Directorate health and safety compliance and risk management. Commissioning and Procurement Compliance and control Controlled, effective and compliant information management practices. Relevant compliance and due the montpliance and control. Delivery of service objectives with effective compliance and control. Delivery of service objectives with effective compliance and control. Delivery of service objectives with effective compliance and control. Delivery of service objectives with effective compliance and control. Delivery of service objectives with effective compliance and control. Delivery of service objectives with effective compliance and control	y	Final Issued Completed work in three thematic areas - work ongoing Final Issued Final Issued Final Issued DEFERRED AUDIT DEFERRED AUDIT DEFERRED AUDIT Final Issued DEFERRED AUDIT Draft Issued DEFERRED AUDIT Draft Issued DEFERRED AUDIT DRAFT Issued Final Issued DEFERRED AUDIT	Effective No Opinion Provided Insufficient with major improvement needed Effective with opportunity for improvement Effective with opportunity for improvement Insufficient with major improvement needed Effective with opportunity for improvement Effective Effective Effective No Opinion Provided Insufficient with major improvement needed Effective with opportunity for improvement	Conclude Audit Plan 2021/22 Carry forward to Audit Plan 2021/22 Objectives incorporated into audit of Information Governance for 2021/22 Carry forward to Audit Plan 2021/22 Carry forward to Audit Plan 2021/22 Objectives incorporated into audit of Information Governance for 2021/22 Carry forward to Audit Plan 2021/22 Not prioritised / taken forward for Audit Plan 2021/22 Carry forward to Audit Plan 2021/22 Not prioritised / taken forward for Audit Plan 2021/22 Carry forward to Audit Plan 2021/22 Not prioritised / taken forward for Audit Plan 2021/22 Carry forward to Audit Plan 2021/22

	I	1		School 4 - Mary Immaculate	45	Addits of systems of governance and internal control within individual schools	
				School 5 - Marlborough Primary			
				School 6 - St. Cuthbert's			
				School 7 - Cantonian			_
Education and Lifelong Learning		Assurance	245	Schools CRSA Schools CRSA - Individual School Audits	20	Effective compliance and control Undertake CRSA exercise with all schools.	-
				CRSA - School 1		Under take CNSA exercise with all schools.	-
				CRSA - School 2	20	CRSA audits of systems of governance and internal control within individual schools	
	Medium			CRSA - School 3	20	cross addits of systems of governance and internal control within individual schools	
				CRSA - School 4	45		_
				Catering in Opted out schools FOLLOW UP - Pontprennau Primary	15	Delivery of objectives, with effective compliance and control Follow up Audit	4
				FOLLOW UP - St Peters R.C Primary	8	Follow up Audit	-
				FOLLOW UP - Income Procedures in School Kitchens cf	0	Follow up Audit	
				School Admissions	15	Effective and well governed arrangements for school admissions	_
				Counter-fraud arrangements - Willows High School (carried forward from 2019/20)	0	Counter-fraud assurance in school governance, transactional, procurement and recruitment activities	
				Counter-fraud arrangements - Kitchener Primary School (carried forward from 2019/20) Income collection in schools – Cardiff High	0	Effective compliance and control	A
				Cashless catering in secondary schools	10	Effective and controlled arrangements to receive, record and monitor income	4
				ICT governance for schools - Ysgol Plasmawr (carried forward from 2019/20)	0	Effective ICT Governance mechanisms for compliance, business continuity and control	
				Pentyrch Primary School (carried forward from 2019/20)		Full audits of systems of governance and internal control	Д
				Music Service - Follow up (carried forward from 2019/20)	0	Follow up Audit	4
				Income and Debtors Performance Management	10	Operation of appropriate arrangements to record, monitor and recover sundry debts. Performance management arrangements are effective, and operated consistently.	_
				Information Management	15	Controlled, effective and compliant information management practices.	-
Governance and Legal Services	High	Assurance	60	Pre-Contract Assurance	10	Relevant compliance and due diligence checks are undertaken	
				Commissioning and Procurement	10	Commissioning and Procurement compliance and control	
		+		Health and Safety (carried forward from 2019/20)		Directorate health and safety compliance and risk management.	4
				Commissioning and Procurement Income and Debtors		Commissioning and Procurement compliance and control Operation of appropriate arrangements to record, monitor and recover sundry debts.	-
				Performance Management	15	Performance management arrangements are effective, and operated consistently.	
Descurses	High	Assurance	90	Information Management	15	Controlled, effective and compliant information management practices.	
Resources		Assurance	80	Pre-Contract Assurance	10	Relevant compliance and due diligence checks are undertaken	
				Resources Health and Safety	10	Directorate health and safety compliance and risk management.	_
		-		Asset Management (carried forward from 2019/20) Alarm Receiving Centre	10	Effective Directorate recording, monitoring, management and control of physical assets	4
Resources (CTS)	Medium	Assurance	10	Resources (CTS)	10	Delivery of service orjectives with effective compliance and control.	
				Commissioning and Procurement	15	Commissioning and Procurement compliance and control	_
				Income and Debtors	15	Operation of appropriate arrangements to record, monitor and recover sundry debts.	
				Performance Management	15	Performance management arrangements are effective, and operated consistently.	_
	High			Information Management Pre-Contract Assurance	15	Controlled, effective and compliant information management practices. Relevant compliance and due diligence checks are undertaken	4
	riigii			Health and Safety (carried forward from 2019/20)	0	Directorate health and safety compliance and risk management.	-
				Direct Payments (carried forward from 2019/20)	0	Effective control, management and monitoring of Direct Payments	4
				Asset Management (carried forward from 2019/20)	0	Effective Directorate recording, monitoring, management and control of physical assets	
		_		Safeguarding - Follow up (carried forward from 2019/20)		Follow up Audit	4
Social Services		Assurance	205	Early help scheme (impact of FPOC) Social Services - CHAD	15	Delivery of service objective with effective compliance and control	4
				Mental Health Day Services	15	Delivery of service objective with effective compliance and control Delivery of service objective with effective compliance and control	H
				Emergency Duty Team	15	Delivery of service expective man encourse compliance and control	7
				Payments to Care Leavers	20	Delivery of service objective with effective compliance and control	
	Medium			Children's Placements (including out of county)	20	- Delivery of service objective with effective compliance and control	
				Residential Care Workforce Development - TBC	15	Delivery of service objective with effective compliance and central	_
				Learning Disabilities (carried forward from 2019/20)	15	Delivery of service objective with effective compliance and control	4
				Foster Carers (carried forward from 2019/20)	0	Delivery of service objective with effective compliance and control	
				Domiciliary Care (carried forward from 2019/20)	0		
Total			1,135		1,135		
External			Original audit plan	Assignment	Days		
		Assurance		City Deal 2019/20		Scope as per rolling SLA	V
	High	,		City Deal 2020/21	5	Scope as per rolling SLA Scope as per rolling SLA	У
		1		Norwegian Church Preservation Trust 2019/20	2		
External clients			20	Education Improvement Grant 2019/20	5		
		0 116 11	_	Norwegian Church Preservation Trust - 2018/19 (carried forward from 2019/20)	0		
		Certification		Joint Committees Cardiff Further Education Trust Fund 2019/20	6	4	
				Rumourless Cities Grant	10	1	
Grants		TBC	10	General Audit (provision)	0		
Total			30		30		
Contingencies			Original audit plan	Assignment	Days		
General Audit			30	General Audit (provision for carried forward audits)	30		
Total			30		30		
				Assignment			
Management			Original audit plan		Days		
Corporate work – Audit Committee, WAO etc.	\longrightarrow	Management	50	Corporate work – Audit Committee, WAO etc.	50	\dashv	
Assurance mapping CRSA development		Management Management	10	Assurance mapping CRSA development	10		
Process development		Management	20	Process development	20	1	
Work for Audit Manager	Medium	Management	30	Work for Audit Manager	30	Internal Audit management, planning, guidance and support activities.	
Planning, monitoring & reporting		Management	30	Planning, monitoring & reporting	30		
Review of financial rules etc.		Management	20	Review of financial rules etc.	20	\dashv	
General advice and guidance Total		Management	20 180	General advice and guidance	20 180		
Total			180		180		

1,912

Total chargeable days

1,912

		Conclude Addit in 2021/22 Carry forward to Audit Plan 2021/22
		Carry forward to Audit Plan 2021/22
CRSA responses received (remaining responses being followed up)	No Opinion Provided	
		Rather than completing a small number of individual CRSA audits, a large desktop sample review of CRSA returns is targeted to for completion prior to 2020/21 year-e
DEFERRED AUDIT		Carry forward to Audit Plan 2021/22
Draft Issued	Effective with opportunity for improvement	
Draft Issued Draft Issued	Effective with opportunity for improvement	
Drait issued	Effective with opportunity for improvement	Carry forward to Audit Plan 2021/22
Draft Issued	Effective	
Draft Issued	Effective with opportunity for improvement	
Final Issued	Effective with opportunity for improvement	Carry forward to Audit Plan 2021/22
Draft Issued	Effective with opportunity for improvement	Carry forward to Addit Flam 2021/22
Final Issued	Effective with opportunity for improvement	
Draft Issued	Insufficient with major improvement needed	
Final Issued DEFERRED AUDIT	Effective	Carry forward to Audit Plan 2021/22
DEFERRED AUDIT		Objectives incorporated into audit of Information Governance for 2021/22
DEFERRED AUDIT		Carry forward to Audit Plan 2021/22
Final Issued	Effective	
Final Issued	Effective with opportunity for improvement	
Final Issued	Effective with opportunity for improvement	Conclude Audit in 2021/22
DEFERRED AUDIT		Carry forward to Audit Plan 2021/22
DEFERRED AUDIT		Objectives incorporated into audit of Information Governance for 2021/22
DEFERRED AUDIT		Carry forward to Audit Plan 2021/22
Draft Issued	Effective with opportunity for improvement	
Final Issued	Insufficient with major improvement needed	Court forward with forwards to Talagara in Audit Plan 2024/22
DEFERRED AUDIT DEFERRED AUDIT		Carry forward with focus given to Telecare in Audit Plan 2021/22 Carry forward to Audit Plan 2021/22
Final Issued	Insufficient with major improvement needed	Carry for ward to Addit Flam 2021/22
Draft Issued	Effective with opportunity for improvement	
DEFERRED AUDIT		Carry forward to Audit Plan 2021/22
DEFERRED AUDIT		Objectives incorporated into audit of Information Governance for 2021/22
DEFERRED AUDIT Final Issued	Effective with opportunity for improvement	Carry forward to Audit Plan 2021/22
Final Issued	Insufficient with major improvement needed	
Final Issued	Insufficient with major improvement needed	
Final Issued	Effective with opportunity for improvement	
DEFERRED AUDIT		Not prioritised / taken forward for Audit Plan 2021/22
DEFERRED AUDIT		Carry forward to Audit Plan 2021/22 Carry forward to Audit Plan 2021/22
DEFERRED NOOT		Carry forward to Audit Plan 2021/22
		Carry forward to Audit Plan 2021/22
DEFERRED AUDIT		Carry forward to Audit Plan 2021/22 Not prioritised / taken forward for Audit Plan 2021/22
Final Issued	Effective with opportunity for improvement	Not prioritised / taken forward for Addit Plan 2021/22
Final Issued	Effective with opportunity for improvement	
Final Issued	Insufficient with major improvement needed	
Final Issued	Effective with opportunity for improvement	1 1
a. 155464	2 2007 Control opportunity for improvement	Carry forward to Audit Plan 2021/22
Draft Issued	Effective with opportunity for improvement	
Finalised Accounts & Recommendations	Effective with opportunity for improvement	
Finalised (x 3 Audits) Finalised	No Opinion Provided No Opinion Provided	
Finalised	No Opinion Provided	
T managed	The opinion Frended	
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Conclude Audit in 2021/22